

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Satoshi Seo et al.

Art Unit : 2822 Examiner: Keisha Rose Serial No.: 10/072,507

Filed

: February 5, 2002

Confirmation No.: 7036

Title

: LIGHT EMITTING DEVICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **REQUEST FOR INITIALED PTO FORM 1449**

Further to a request filed June 9, 2005 and a notice of allowance mailed August 26, 2005. applicants noted that all of the references listed on the PTO Form 1449 were not initialed by the Examiner that accompanied the information disclosure statement filed July 11, 2002.

Applicants' records show that this information disclosure statement complied with 37 CFR 1.97. Thus, it is respectfully requested that the Examiner initial and return this form as soon as possible. Note, applicants must pay the issue fee by November 28, 2005, so the examiner's prompt attention to this matter would be appreciated.

For the Examiner's convenience, attached is a copy of the Information Disclosure Statement filed July 11, 2002, a copy of the stamped postcard, and courtesy copies of the references designated as AG - AP and AR - AS. Also, please note that a typographical error was noted in the reference designated as "AS". Specifically, the publication number listed (Science 367) is incorrect. The attached PTO Form includes the correct publication number (Science 267) in bold print. Applicants request that the Examiner initial these references and return the PTO Form 1449 to show consideration thereof.

No fees are believed due. Please apply any charges or credits to deposit account 06-1050.

Respectfully submitted,

Date: October 24, 2005

John F. Havden Reg. No. 37,640

Customer No. 26171 Fish & Richardson P.C. 1425 K Street, N.W., 11th Floor Washington, DC 20005-3500 (202) 783-5070 telephone (202) 783-2331 facsimile



ATTORNEY DOCKET NO. 07977 300001
The Patent and Trademark Office date stamp sets forth the date of receipt of:  Applicant or PatenteeScore+ol.
No. (Application, Appeal, Interference, Patent; Reexam) 10/072
Title: Light Emitting Device
Transmittal Letter (2 Copies)   With Pet. for Ext.     Assignment     Status Inquiry     Amendment/Response Pages   Declaration     Maintenance Fee   Request Certificate   Correction     Check \$   Deposit Account Order Form (2 Copies)   Appeal Brief (3 Copies)     Issue Fee   Request Patent Copies   Petition for Extension Time     X PTO 1449 Form Pages   Yellow Prior Art References Number of References 29
[ ] Drawings Sheets Formal Sheets Informal Sheets Amended
Notice of Missing Parts     Combined Declaration and Power of Attorney     Small Entity Statement     Other
Atty/Sec.SCHClient/ Initials

COPY



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Seo, et al. Serial No. : 10/072,507

Filed: February 5, 2002

Title : LIGHT EMITTING DEVICE

Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information
Disclosure Statement and documents listed on form PTO-1449.

This filing is being made before the receipt of a first Office action on the merits. No fee is required.

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.



#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

	July 11, 2002	
Date of Deposit	h/	
Signature		
	Jere Halligan	
Typed or Printed N	ame of Person Signing Certificate	

Please apply any additional charges or credits to Deposit Account No. 06-1050.

Respectfully supmitted,

Date: 7 1 0

Scott C. Harris Reg. No. 32,030

Fish & Richardson P.C. PTO Customer No. 20985 4350 La Jolla Village Drive, Suite 500 San Diego, CA 92122

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Sheet <u>1</u> of <u>2</u>

Substitute Form PTO-1 (Modified)

2. Department of Commerce Patent and Trademark Office

07977-300001

Application No. 10/072,507

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant Seo et al

Seo, et al.

Group Art Unit 2822

(37 CFR §1.98(b))

Filing Date February 5, 2002

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5 925 980	Jul 20, 1999	So et al.			
	AB	5 853 905	Dec 29, 1998	So et al.			
	AC	6 130 001	Oct 10, 2000	Shi et al.			
	AD	5 271 089	Dec 14, 1993	Ozawa	-		
	AE	6 285 039	Sep 4, 2001	Kobori et al.			

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AF	1 065 737	Jan 3, 2001	EPO				
	AG	10-233288	Sep 2, 1998	Japan			Full	
	AH	2001-52870	Feb 23, 2001	Japan			Full	

Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig.				
Initial	ID .	Document			
	AI	Tang et al. "Organic electroluminescent diodes." Applied Physics Letters 51(12): 1987. p. 913-915.			
	AJ .	Kijima et al. "A blue organic light emitting diode." Jpn. J. Appl. Phys. 38: 1999. p. 5274-5277.			
	AK	C. Adachi et al. "Electroluminescence in organic films with three-layer structure." Jpn. J. Appl. Phys. 27(2): 1988. p. L269-L271.			
	AL	C.W. Tang et al. "Electroluminescence of doped organic thin films." J. Appl. Phys. 65(9): 1989. p. 3610-3616.			
	AM	"New Aspect of Research and Development of Organic EL." M&BE Seminar, Bulletin of Organic Molecular/Bioelectronics Subcommittee, Society of Applied Physics, 11(1): 2000. p. 3-12.			
	AN	T. Wakimoto et al. "Organic EL cells using alkaline metal compounds as electron injection materials." IEEE Transactions on Electron Devices 44(8): 1997. p. 1245-1248.			
	AO	S.A. Van Slyke et al. "Organic electroluminescent devices with improved stability." Appl. Phys. Lett. 69(15): 1996. p. 2160-2162.			
	AP	D.F. O'Brien et al. "Improved energy transfer in electrophosphorescent devices." Appl. Phys. Lett. 74(3): 1999. p. 442-444.			
	AQ	T. Tsutsui et al. "High quantum efficiency in organic light-emitting devices with iridium-complex as a triplet emissive center." Jpn. J. Appl. Phys. 38: 1999. p. L1502-L1504.			
	AR	T. Tsutsui et al. "The operation mechanism and the light emission efficiency of the organic EL element." Text of the Third Lecture Meeting, Bulletin of Organic Molecular/Bioelectronics Subcommittee, Society of Applied Physics, p. 31-37.			
	AS	J. Kido et al. "Multilayer white light-emitting organic electroluminescent device." Science 267: 1995. p. 1332-1334.			

Examiner S	ianature
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Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OCT 2.4 7005 E

Substitute Form PTO 49 Department of Commerce (Modified) Patent and Trademark Office

Attorney's Docket No. 07977-300001

Application No. 10/072,507

Information Disclosure Statement Applicant

Seo, et al.

by Applicant (Use several sheets if necessary)

(37 CFR §1.98(b))

Filing Date Group Art Unit Pebruary 5, 2002 2822

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document				
	AT	Satoshi Seo et al., U.S. patent application serial no. 10/043,786, filed January 10, 2002				
	AU	Satoshi Seo et al., U.S. patent application serial no. 10/043,812, filed January 10, 2002				
	AV.	Satoshi Seo et al., U.S. patent application serial no. 10/060,427, filed January 29, 2002				
	AW	Shunpei Yamazaki et al., U.S. patent application serial no. 10/062,005, filed January 31, 2002				
	AX	Shunpei Yamazaki et al., U.S. patent application serial no. 10/072,310, filed February 5, 2002				
	AY	Shunpei Yamazaki et al., U.S. patent application serial no. 10/081,971, filed February 20, 2002				
	AZ	Satoshi Seo et al., U.S. patent application serial no. 10/081,558, filed February 20, 2002				
	AAA	Hirokazu Yamagata et al., U.S. patent application serial no. 09/852,090, filed May 10, 2001.				
	ABB	Satoshi Seo et al., U.S. patent application serial no. 10/026,064, filed December 21, 2001.				
	ACC	Satoshi Seo et al., U.S. patent application serial no. 10/024,699, filed December 21, 2001.				
	ADD					



Examiner Signature

Date Considered